## 1. Keep in mind and Report on underlying and common principles of the Action Plan

General commitment	Specific meaning and/or action	Outputs / triggers / expected results
1.a) Ensure the continuity of	Pursue the efforts engaged to realise past	- set up a <b>specific mechanism</b> to ensure follow-up,
the efforts made to implement	commitments, including those not covered	such as a steering committee or focal point that can
the EU Strategic Framework	by the new Action Plan	be seized by the civil society if needed and report
and its successive Action Plans		annually on the results
		- devise new commitments in the next Action Plan to
		address the new challenges in that regard
<b>1.b)</b> All points of the Action	* an implementation driven by the	In the thematic and country cases part of the annual
Plan should be implemented	principles of Universality and Indivisibility;	report:
with the aim to uphold all the	* that addresses all aspects of the human	- publicly and annually document the results or
founding principles of the 2012	rights cycle namely: Prevention-	progress achieved, for all points of the Action Plan
EU Strategic Framework on	Protection-Promotion-Redress;	and for all country partners, paying due attention to
Human Rights and Democracy,	* in genuine partnership with civil society	prevention, protection, promotion and redress
namely:	* in all EU partners countries;	commitment and addressing the challenges regarding
	* in order to achieve tangible results and	the indivisibility and universality of human rights
	to ensure human rights are realised for all;	- publicly and annually document how the EU has
	* designing an adequate strategy	made best use of the range of instruments at its
	depending on achievements, difficulties	disposal and made all policies converge to that end
	and circumstances and by using all the	- publicly and annually document how the EU has
	tools and policies at its disposal.	adapted or expects to adapt its strategy to address a
		lack of results or new challenges if needed.

## 2. Use a strategic Methodology to implement the Action Plan and the priorities of the EU Strategic Framework

General commitment	Specific meaning and/or action	Outputs / triggers / expected results
2.a) Ensure that all	DESIGN & ADAPT: conduct systematic,	Publish a systematic report on the human rights part
external activities and	transparent and participatory ex-ante impact	of the impact assessments made, by pointing out how
policies, including the	assessments on human rights of the main EU	it has duly <b>influenced the design</b> of the policies &
external aspects of	activities, referring to the normative content of	activities that have been assessed;
other EU policies (such	human rights. At the minimum, provide impact	Consider a genuine panel of options for the
as trade, CSDP,	assessments for EU agreements, including	implementation, enforcement and improvement of
counterterrorism,	sectorial ones, memorandum of understanding,	commitments such as:
financial assistance,	operational cooperation agreements, regulations	* a permanent civil society & expert monitoring group
development	and activities of EU agencies. These impact	that could be seized by affected populations and would
cooperation, migrations	assessments should aim (at least in part) to ensure	be heard regularly by the institutions and organs in
etc). are <b>designed and</b>	that the EU respects its own human rights	charge in order to remedy negative impacts, take
implemented in order to	obligations, avoids activities that impede the	stock of achievements and propose areas for
respect and consolidate	realisation of human rights, facilitates human	improvements
human rights	rights improvements. Develop Ex-post	* a new complaints mechanism or way to improve the
	assessments that should serve to take stock of the	access to justice
	achievements and propose areas for further	* a new model human rights clauses adapted to the
	improvements.	specific challenges depending of the nature of the
		agreement at stake, etc.
	IMPLEMENT & ENFORCE: improve the	
	mechanisms that should serve the monitoring,	Document the efforts deployed to implement the
	enforcement, accountability, redress and remedies	recommendations made by monitoring mechanisms
		(incl. ombudsman, court of auditors, etc.) and made in
		impact assessments, and duly justify when decide to
		not implement these recommendations

2.b) complement the point 2.a) by ensuring the effective use and the best interplay of EU instruments and policies

knowing that all EU policies (migration, trade, financial aid, CSDP, etc.) offer a full range of instruments (dialogues, incentives, direct support and sanctions), that can be used to foster human rights and respond to violations.

Best interplay of the instruments and policies supposes that the EU must:

\*IDENTIFY the leverages at its disposal, taking into account all its current and potential activities, agreements and relations with the partner country

\*DEFINE the best mix of leverages among all its policies, the strategic and progressive approach to be used, considering past experience, current opportunities and constraints

\*USE direct support, incentives and rewards but react to lack of progress and new violations by diplomacy, statements, UN tools, and targeted fine-tuned sanctions when needed (postponement of international negotiations, redirection of the financial and technical support, postponement of high level visit, suspension of military cooperation, freezing of assets, refusal of visa, student exchanges, withdrawal of trade advantages, etc.).

\* ADAPT the policy mix depending on the results

To those ends and without prejudice to any other relevant action including the further development of working methods, envisage and attest of cases where a strategic interplay has intervened, such as:

\* use of silent **diplomacy** when past experience has shown positive results but ensuring public statements when relevant (i.e. cases where credible assurance or preliminary steps have not been taken or impediment of the international standards are consumed ex: restrictive NGO laws, arbitrary detention, etc.) and react strongly if prior initiatives have failed.

\* follow up those cases in all dialogues, high level meetings, visits and dedicated demarches ensuring the message is upheld in delegations and embassies and reflected in EU positions and statements at the UN level

\*use of dialogues to secure concrete and time-bound commitments for progress on specific and identified priorities. Ensuring those responsible for the dialogues have a clear negotiation mandate regarding the leverages the EU could mobilise (ex: UN statements, initiatives and resolutions, incentives, a possible freeze on future advantages, etc.). Follow up of the commitments obtained and eventual new worrying developments in the subsequent high level meetings and reflect this follow up in the public statements made at the end of the meetings, ensuring comparable accuracy with the other discussions held on that occasion and the use of other leverages if no results are forthcoming.

		* negotiate time bound benchmarked roadmaps with
		the partner country before the conclusion of new
		agreements to ensure minimal conditions for mitigating
		negative effects are set up and to foster progress and
		monitor its implementation
		* when dealing with the negative impacts its own
		policies may have on human rights, the EU should not
		only think on how other policies can help, but also
		adapt the problematic policy at stake
2.c) to those ends (see	*Improve the partnership with civil society: by	*Report on the quality of the consultations held with
2.a & 2.b) enhance	enhancing the transparency of the timetables and	civil society and the improvement of the constructive
partnership with civil	content of the negotiations; by engaging in an	dialogue.
society, the	informative but also constructive dialogue; by	*Provide with better documented studies and impact
involvement of HR	reinforcing the expertise and setting HR focal	assessments that ensure their scientific quality.
experts and the	points in the different DGs of the European	*Publish an annual report made by both the First Vice
coordination between	Commission and in the WGs of the Council	President of the European Commission and the
EU institutions	facilitating the dialogue with their institution; by	HR/VP to document the concrete steps and results
	providing technical and financial support in order	achieved under their respective responsibilities (inter
	to build the capacities of civil society including at	institutional relations, rules of Law, EU charter on
	the local level.	fundamental rights and consistency and coherence of
	*Set up advisory groups of human rights civil	external policies) regarding the implementation of the
	society and human rights UN & academics expert	EU strategic framework and action plan for human
	to organise conferences, provide studies and	rights and democracy.
	recommendations on specific issues, policies and	
	countries cases.	
	*Ensure a negotiation shift in the dialogues and	
	relations with the partner countries by improving	
	the coordination, dialogue and respective	
	engagements between the institutions, DGs and	
	services in the EU.	

2.d) work by thematic	Identify difficult cases or cases of double	Expand the 22 July 2013 Council conclusions
and specific country	standards and apply the strategic methodology as	experience (conclusion on the Comprehensive
pilot projects to address	defined in the present point 2 of the Action Plan.	Framework for the European Union's policy and
lack of results		support to Myanmar/Burma) but by better defining the
		best interplay between the different instruments the
		EU has at its disposal, integrating human rights in all
		policies, making a comprehensive assessment of the
		results achieved in the different areas, adapting the
		strategy to the results achieved, etc.

## 3. Specific achievements to be sought in thematic issues

General commitment	Specific meaning and/or action	Outputs / triggers / expected results
3.a) Take concrete	*Undertake concrete steps to enforce compliance with	
actions to address	international law by the offender State, and refrain or	serious international crimes occur and report
violations of Jus	suspend giving aid and assistance in maintaining a situation	on the actions undertaken
Cogens, of norms	of illegality	
erga omnes and of	*Call for and make its best efforts to ensure that the most	
most serious	serious crimes are investigated and prosecuted and that the	
international crimes	perpetrators, whatever their level, are held responsible;	
	*Encourage the universal adherence to the ICC Rome	
	statute and any other relevant international conventions	
	*Work in order to reinforce the UN and international bodies	
	and procedures to deal efficiently with those situations, fully	
	cooperate and encourage full cooperation with them	
3.b) ESCR and their	*Develop a specific strategy in pilot projects to address land	Provide better understanding and strategy on
indivisibility with civil	conflict issues. By then, take benefit of a typical	the principle of indivisibility of human rights by
and political rights	opportunity to foster a comprehensive agenda on the	the development of a specific strategy in pilot
	indivisibility of human rights, namely ESCR (right to food,	projects to address land conflict issues
	housing, access to education, health, water and decent	
	standards of living, etc.) & CPR (right of expression,	Report on the enhancing of the number of
	assembly, association, right to be protected from	ratification of key ESCR conventions and
	extrajudicial killings, enforced disappearance, arbitrary	protocols like OP-ICESCR and ILO 169, and
	detention, right to privacy, etc.), and work, for example, to	under international law, and on the enhancing
	:	of the references made to the EU policies and
	- protect human rights defenders	activities in the states parties periodic reports
	- address the needs of the most vulnerable people (including	to the committee on ESCR given the fact that
	the poor, peasants, women, indigenous people, victims of	states cannot release themselves from these

	discriminations)  - reinforce the judicial and other recourse and remedies mechanisms  - address the impacts of corporate activities and policies supposed to foster economic development.  Monitor the EU's development programming and budget spending related to private sector development and apply VGGT (FAO Voluntary Guidelines on the Responsible Governance of Tenure of Land, Fisheries and Forests in the Context of National Food Security) and other relevant initiatives  *Enhance the international protection and enforcement of ESCR, notably by promoting broader ratification of the optional protocol to the international covenant of economic, social and cultural rights (OP-ICESCR) and the ILO convention n° 169 on indigenous people. Promote the introduction, in the states parties periodic reports to the committee on ESCR, the efforts made at the EU policy level to respect the covenant	obligations simply by delegating powers relevant to their implementation to the EU, and given the fact that it was one of the concluding recommendations made at the 2013 EU NGO forum wich should lead to concrete follow up
3.c) Improve the human rights records of trade and investments activities and policies as well as of businesses	*Improve the SIA and ensure effective implementation of the relevant guidelines *Identify the HR challenges raised by ISDS and provide adequate answers *Enhance the human rights safeguards in investment and trade policies, including by adopting a new model for the human rights clauses to be introduced in trade and investment agreements *Analyse existing policies and identify the legal flaws to achieve corporate accountability, human rights due diligence access to information and policy coherence. Ensure victims	*SIA are in line with the 2011 Guiding Principles on Human Rights Impact Assessments of Trade and Investment Agreement (O. de Schutter, UN SR on the right to food) endorsed by the HRC, and this requirement put in the mandate of negotiation of those agreement if needed. *Trade and investment human rights clauses are more protective and efficient to ensure respect of the EU Charter on fundamental rights and international human rights treaties

3.d) adopt a human rights based approach in migration policies	of corporate abuse can access justice, including in the EU; enheance the efficiency of the mechanism to ensure the coproration are not involed in human rights violations; reinforce the rules for zones in conflict  *Consider running a pilot study that illustrates specific HR difficulties and assessing HR impacts under the forthcoming GSP mid-term review in 2015  *Revise the migration policies and measures that result in restrictions to the right of people to leave a country in which they suffer HR violations;  *Set up a mechanism that could deal with incidents and individual complaints related to breaches of fundamental rights alleged to have occurred in the course of Frontex's work, and broadly enhance the monitoring and redress mechanism in order to make Frontex accountable for breaches of human rights  *Fight against infringement to the non-refoulement principle and address concerns regarding respect for human rights in the countries of readmission and provide more adequate	*Report on cases where populations have found remedies for corporate abuses and document success stories of the implementation of the UN guiding principles  Attest of the human treatment of migrants and asylum seekers and attest of improvements of migration policies producing reports and document observing those improvement and made by relevant independent monitoring bodies like the UN Special rapporteur on the human rights of migrants
3.e) Rights based approach of development	safeguard mechanisms and clauses  Further develop and monitor the human rights based approach of development	*Ensure transparency, information and public assessment of the implementation of the RBA to development EU toolbox, including possible blending facilities  *Pursue efforts to give substance to the UN process to develop a human rights-based development approach
3.f) Entrench human rights in counterterrorism activities	* Issue and publish EU guidelines on the promotion and protection of human rights while countering terrorism and report on implementation	Report on the progress achieved since the adoption of the new Action Plan compared to the previous situation

	* Enhance transparency of the time-frame and content of all	
	forms of counter-terrorism dialogues with third countries	
	and report on the way they raise human rights issues	
3.g) provide for a	*Enhance the sustainability and flexibility of financial	Actively participate at the democratic roots
genuine partnership	support to civil society, including by providing core funding	and promote the development of a vibrant,
with civil society,	to NGOs, by facilitating cascading financing and ensuring	diverse participative and independent civil
including at the local	the building and reinforcement of capacities when needed	society including in the more repressive
level	*Pursue efforts regarding shelter initiatives and the fight	contexts; facilitate local and international
	against restrictive laws or laws that criminalise human rights	contacts and exchanges; Attest of efforts made
	activities	regarding the release of prisonners detained for
	*Enhance the protection of human rights defenders in all	exercicing human rights and produce annually a
	fora, including at the UN level. Provide political and public	list of released prisoners
	support by inviting, visiting and meeting them and by	
	resisting requests made by partner countries to exclude	
	specific individuals or NGOs from those forms of demarches	
	*Develop the most progressive forms of human rights	
	dialogues, like those preceded by a civil society forum	
	feeding the human rights dialogue with concrete	
	recommendations, or with civil society representative	
	attendance. Develop new forms of trilateral appeased	
	discussions between the EU, the partner country's	
	authorities and local civil society	
	*Ensure better follow-up and encouragement to the	
	implementation of the recommendations of OEMs and	
	enhance transparency, participation, and accountability in	
	the public policies' development and implementation	
	process	
	*systematically work for the release of all prisoners jailed for	
	the use of their legitimate right of expression, association	
	and assembly or for their HRD activities	

3.e) Achieve greater	in addition to the previous commitments, work on the	Better use should be made of existing tools and
policy coherence,	elaboration of an internal EU strategic framework that may	mechanisms to respond to HR abuse within the
notably between	enhance the respect by its institutions and organ of the	EU. This includes infringement procedures
internal/external	democracy principles, human rights and the rule of law in	which must be made more publicly available,
policy	the EU legal order, as well as the perenisation of the EU as	and further used to address violations of the
	founded those rights rules and principle, taking the EU	EU's Charter on fundamental rights.
	Charter of fundamental rights as referent	